

## Major Resolutions of Board Meetings in 2019

- (1) 2019/2/27: the 15<sup>th</sup> meeting of the 7<sup>th</sup> Board of Directors
  - \* Approval of the date, venue, and agenda of 2019 general shareholders' meeting
  - \* Approval of 2018 parent financial statements
  - \* Approval of proposal for distribution of 2018 earnings
  - \* Approval of proposal for director remunerations and employee remunerations for 2018
- (2) 2019/3/22: the 16<sup>th</sup> meeting of the 7<sup>th</sup> Board of Directors
  - \* Approval of business report and consolidated financial statements for 2018
  - \* Approval of adoption of the Bank's Internal Regulations Governing the Holding of Concurrent Positions by Responsible Persons
  - \* Approval of amendments to the Bank's Regulations Governing Real Estate Transactions with Interested Parties
  - \* Approval of the Bank's 2018 report on Evaluation of Money Laundering and Terrorism Financing Risks and Evaluation of Money Laundering and Terrorism Financing Risks on the Bank's Insurance Agent Business, as well as 2019 plan on Control of Money Laundering and Terrorism Financing Risks
- (3) 2019/4/26: the 17<sup>th</sup> meeting of the 7<sup>th</sup> Board of Directors
  - \* Approval of adoption of the Bank's Standard Operating Procedures for Handling Director Requests
  - \* Approval of amendments to the Bank's Corporate Governance Principles
  - \* Approval of the Bank's engagement and compensation of CPAs for 2019 and 2020
  - \* Approval of the Bank's self-assessment of its implementation of Fair Treatment of Clients in 2018
  - \* Annulment of the Bank's Procedures for Conducting the Transaction of Financial Derivatives
  - \* Approval of amendments to the Bank's Information Security Policy and Information Security Guidelines
  - \* Approval of amendments to the Bank's Procedures for the Acquisition or Disposal of Assets
- (4) 2019/6/26: the 18<sup>th</sup> meeting of the 7<sup>th</sup> Board of Directors
  - \* Setting of July 20, 2019 as the record date for distribution of 2018 cash dividends of preferred stock
  - \* Approval of adoption of the Bank's Regulations Governing the Performance Evaluation of the Board of Directors
  - \* Approval of amendments to the Bank's Shareholding Management Regulations
  - \* Approval of reengagement of Lotus International Law Office and Peace & Grace International Attorneys at Law as the Bank's legal counsels to accommodate its business endeavors becoming increasingly diversified
  - \* Approval of amendments to the Bank's Recovery Plan for the Hong Kong Branch
  - \* Approval of amendments to the Bank's Regulations Governing the Management of Invested Companies
- (5) 2019/8/23: the 19<sup>th</sup> meeting of the 7<sup>th</sup> Board of Directors
  - \* Approval of consolidated and parent financial statements for the first half of 2019
  - \* Approval of amendments to the Bank's Ethical Corporate Management Best Practice Principles

\* Approval of adoption of the Bank's Rules for Investing in Real Estate

(6) 2019/10/24: the 20<sup>th</sup> meeting of the 7<sup>th</sup> Board of Directors

\* Approval of adoption of the O-Bank Group's 2019 Plan for Anti- Money Laundering and Anti-Terrorism Financing

\* Approval of the Bank's signing the annual Compliance Statement on "Stewardship Principles for Institutional Investors"

\* Approval of adoption of the Bank's Rules for the Establishment of a Commodities Review Panel and for Its Conducting Review

(7) 2019/12/25: the 21<sup>st</sup> meeting of the 7<sup>th</sup> Board of Directors

\* Approval of budgets proposed for 2020

\* Approval of evaluation of CPAs as independent and suitable and of the Bank's hiring them as such

\* Approval of adoption of the Bank's 2020 Guidelines for the "Management of Market Risk" and the "Management of Liquidity and Interest Rate Sensitivity Risks"

\* Approval of the Bank's 2019 report on Self-evaluation of Personal Information Protection

\* Approval of the results of the Bank's 2019 evaluation of audits at subsidiaries, 2020 audit plan and 2020 internal audit plan for the insurance agent business

\* Approval of proposal to reapply to the competent authority for the establishment of Beijing Sunshine Consumer Finance Co., Ltd.

\* Approval of amendments to the Bank's Regulations Governing Employee Compensation and Rules for Allocating and Granting Year-End Bonuses