

申請授權暨同意 Authorization and Consent Form

立同意書人係申請人_____ (申請人身分證字號: _____) 之法定代理人/監護人/輔助人，立同意書人同意暨授權下列所載事項：

The Consenting Party that signs this consent form is the legal representatives/ guardians/assistants of the Applicant _____ (Applicant ID Card No.: _____) who agrees and understands the following matters:

授權暨同意事項 Authorization Matters

- 一、立同意書人就開立「綜合存款帳戶」及「親子帳戶」，授權暨同意如下事項：
- (一) 申請人得於貴行開立「綜合存款帳戶」【含台幣活期性存款、台幣定期性存款(不含質借)】及申辦「親子帳戶」。
- (二) 法定代理人/監護人/輔助人如為兩人以上時，授權任一方得全權處理「綜合存款帳戶」及「親子帳戶」之一切往來事項【包括但不限於該帳戶所衍生之各項金融服務功能(如金融卡、電話銀行服務、網路銀行暨行動銀行服務等)及「親子帳戶」交易限額及相關功能之設定及使用，暨辦理該帳戶之簽樣、密碼和一切與該帳戶有關事項之設定、變更、結清等事宜】。
1. The Consenting Party hereby authorizes and agrees to the following regarding the opening of "Omnibus Deposit Account" and "Parent-Children-Account."
- (1) The Applicant may open a Omnibus Deposit Account in the Bank [including NTD/Foreign Currency Demand Deposits, NTD/Foreign Term Deposits (excluding loans)], to apply for a "Parent-Children-Account."
- (2) If there are two or more legal representatives/guardians/assistants, any one of them is authorized to handle all transactions related to the "Omnibus Deposit Account" and "Parent-Children-Account," [including but not limited to various financial service functions derived from the account (such as, Debit Cards, Telephone Banking Services, Internet Banking and Mobile Banking Services, etc.), transaction limits of the "Parent-Children-Account" related functions set up and use, and handling the account's specimen signature, password, setting, change, and settlement of all matters related to the account.]
- 二、立同意書人就開立「信託帳戶」辦理特定金錢信託投資國內外有價證券業務，授權暨同意如下事項：
- (一) 申請人得於貴行開立「信託帳戶」辦理特定金錢信託投資國內外有價證券業務往來相關事宜。
- (二) 法定代理人授權任一方得全權處理前項之一切往來事項【包括但不限於投資理財商品約定事項及交易辦理業務所需之相關申請文件及下單交易(如：電子化交易、電話銀行服務及客戶投資風險承受度問卷等)】。
- (三) 法定代理人之任一方所為或所受之意思表示、風險承受度評估、商品說明、權益告知等交易事項，視為對申請人及全體法定代理人均發生效力，不得日後爭執。
2. The Consenting Party hereby authorizes and agrees to the following regarding the opening of "Trust Account" for Non-discretionary Money Trust Investment in Domestic and Foreign Securities.
- (1) The Applicant may open a "Trust Account" in the Bank, and to conduct the application for investment of Non-discretionary Money Trust Investment in Domestic and Foreign Securities.
- (2) Any one of the legal representatives is authorized to handle all transactions related to the above, including but not limited to necessary application documents and order placements for investment and financial products (such as electronic trading, telephone banking services, and customer risk tolerance questionnaires, etc.)
- (3) Transactions such as expressions of intent, risk tolerance assessments, product explanations, and rights notifications made by any one of the legal representatives shall be effective for the applicant and all legal representatives, and shall

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not be disputed thereafter.

- 三、 立同意書人知悉並同意，凡辦理與第一條第(一)項及第二條第(一)項帳戶相關之一切往來事項，悉以留存於貴行之約定往來簽章樣式為憑，使用前述簽章樣式即視為已取得申請人及立同意書人之同意。
3. The Consenting Party acknowledges and agrees that all transactions related to Clause 1(1) and Clause 2(1) shall be based on the agreed specimen signatures retained by the bank. The use of the aforementioned specimen signatures constitutes consent from the applicant and the undersigned.
- 四、 立同意書人知悉並承諾，應自行妥善保管其於貴行網路銀行暨行動銀行或電話留存之銀行帳號及密碼，凡透過前述銀行帳號及密碼進行之所有行為(包括但不限於文件簽署、交易)，均視為立同意書人之行為，立同意書人應自行承擔任何不實、虛偽、遭盜用或未經授權指示之責任，不得日後爭執。
4. The Consenting Party acknowledges and undertakes to securely manage their bank account numbers and passwords stored by the bank's internet banking and mobile banking or telephone services. All actions conducted through these services (including but not limited to document signing and transactions) shall be deemed actions of the undersigned, who shall bear responsibility for any inaccuracies, falsifications, unauthorized use, or unauthorized instructions, with no grounds for dispute thereafter.
- 五、 立同意書人承諾，對法定代理人/監護人/輔助人之任一方及未成年人或受監護/輔助宣告人依本同意書第一條、第二條所為之一切行為均負連帶責任，日後如發生任何問題致貴行受有損害，應對貴行負連帶賠償責任。
5. The Consenting Party agrees that they shall be jointly liable with any one of the legal representatives/guardians/assistants and the minor or person under guardianship/assistance for all actions under Clause 1 and Clause 2 of this authorization. In the event of any damage suffered by the bank, the undersigned shall be jointly liable for compensation to the bank.
- 六、 立同意書人知悉並承諾如有權簽署本授權暨同意書之人有變更，立同意書人應立即檢附證明文件通知貴行，如立同意書人怠於通知因而致申請人權益受有損害或影響時，由申請人及立同意書人自行負責；如致貴行受有損害，立同意書人應對貴行負連帶賠償責任。
6. The Consenting Party acknowledges and agrees to promptly notify the bank with supporting documents if there are any changes regarding the authorized signatory of this authorization. Failure to notify the bank promptly resulting in damage or impact on the rights of the Applicant shall be the responsibility of the Applicant and the Consenting Party jointly. If such failure causes damage to the bank, the Consenting Part shall be jointly liable for compensation to the bank.
- 七、 法定代理人/監護人/輔助人如為兩人以上時，其中任一方擬終止他方就本授權暨同意書內容所得全權處理之被授權行為者，立同意書人知悉並同意以下事項：
- (一) 擬終止授權之一方應至貴行另行簽署「終止授權同意書」，並以貴行受理日為生效日，終止授權他方前，立同意書人依本授權暨同意書所為之一切行為仍屬有效。
- (二) 任一方終止授權他方者，應負通知他方之義務，且該終止授權之效力及於雙方，嗣後辦理業務應由立同意書人同意及辦理，不得由任一方單獨為之。
- (三) 終止授權後，立同意書人仍須依本授權暨同意書所載之同意事項辦理(即僅有授權事項終止，同意事項效力仍存續)。
7. If there are multiple legal representatives/guardians/assistants, any one of them intending to terminate the authorization of

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the other party regarding the matters covered in this authorization and consent agreement, the Consenting Party understands and agrees to the following:

- (1) The terminating party must sign a "Termination of Authorization" at the Bank, effective from the date accepted by the Bank. Until such termination, actions taken by the Consenting Party in accordance with this consent form shall remain valid.
- (2) If either party terminates the authorization granted to the other party, it shall have the obligation to notify the other party. The termination of authorization shall be effective for both parties, and subsequent business matters shall be agreed upon and handled by the parties who signed the agreement, and shall not be undertaken unilaterally by either party.
- (3) After termination of the authorization, the parties who signed the agreement shall still adhere to the consent matters stipulated in this authorization and agreement (meaning that only the authorized matters are terminated, while the consent matters remain in effect.)

八、立同意書人已詳閱及明瞭本同意書內容及貴行存款總約定書、信託總約定書及「蒐集、處理及利用個人資料告知事項」。

8. The Consenting Party has carefully read and understood the content of the Consent Form ,General Agreement For Deposits, Master Trust Agreement and the "notification of collection, processing, and use of personal data" in the General Deposit Agreement of the Bank.

 終止授權 Termination of Authorization Agreement

自受理日起，終止被授權人_____得處理本人在貴行辦理上述「綜合存款帳戶」、「親子帳戶」及「辦理特定金錢信託投資國內外有價證券業務」一切往來事項之授權，並以貴行受理日期為終止日期。

Starting from the date of acceptance, the authorization for the appointed party to handle all transactions related to " Omnibus Deposit Account," " Parent-Children-Account," and " Non-discretionary Money Trust Investment in Domestic and Foreign Securities." on my behalf with your bank is hereby terminated, with the acceptance date by your bank serving as the termination date.

此致 王道商業銀行股份有限公司 To O-Bank

立同意書人 The Consenting Part
(法定代理人/監護人/輔助人)

legal representative / guardian/assistant

身分證字號 ID Card No. 電話 Telephone

_____ (簽章 Signature) _____

_____ (簽章 Signature) _____

日期： 年 月 日
Certificate Date YYYY MM DD

覆核： 核對：

應注意事項 Notes

一、開戶及辦理特定金錢信託投資國內外有價證券業務

除攜帶下列證明文件外，另請提示申請人及立同意書人第二身分證件辦理。

For account opening and Non-discretionary Money Trust Investment in Domestic and Foreign Securities, In addition to the following supporting documents, please also present the 2nd ID of the Applicant and the Consenting Party to apply.

(一) 未成年人或受監護人/輔助宣告人申請開戶及辦理特定金錢信託投資國內外有價證券業務，一律由法定代理人(父母雙方、監護人或輔助人)代為執行，並備妥申請人及法定代理人/監護人/輔助人之身分證正本、印章及本同意書來行辦理(如申請人未經核發身分證，以戶口名簿或戶籍謄本代之)。

The application filed for account opening by minors under 18-year-old or individual under guardianship/assistance shall be handled by the legal representatives (both parents, guardians, or assistants) with the original ID card and seal of the Applicant and legal representative/guardian/assistants, and the consent form presented to the Bank for process (if the Applicant is without an ID card issued, the household certificate or household registration transcript shall be presented instead)

(二) 父母離婚而依協議或法院裁定由一方行使親權者、一方死亡或雙方皆無法行使監護權者，對於未成年人有親權、監護權者應檢具證明文件【如協議書、法院判決書、戶籍謄本(3個月內且含記事欄)、戶口名簿】，始得單獨代理。

If one of the divorced parents is to exercise parental right according to the divorce agreement or court ruling, one party dies, or both parties are unable to exercise guardianship, those with guardianship over the minors shall submit the supporting documents [such as, court judgments, household registration transcripts (within 3 months and including the memorandum column) and household certificate] to qualify as an independent agent.

(三) 監護人或輔助人另應檢具法院裁定書或戶籍謄本(3個月內且含記事欄)，以供核對是否為監護人或輔助人。

The guardian or assistant should also submit a court ruling or the household registration transcript (within 3 months and including the memorandum column) for reference to verify the identity of the guardian or assistant.

二、前述第二身分證件係指健保卡、駕照、護照、學生證、戶口名簿、戶籍謄本、機關學校團體之清冊，載有申請人/代辦人姓名足資證明確為本人之證件。

The aforementioned 2nd identity documents refer to Health Insurance Cards, driver's licenses, passports, student ID cards, household certificates, household registration transcripts, and the list of institutions, schools, and organizations, which contain the name of the Applicant/Agent to prove the authentication of the identity card.

三、本同意書授權一切往來事項，須依本行存款總約定書、信託總約定書、外匯收支與交易申報辦法、一般銀行慣例及相關法令規定辦理。

All transactions authorized by the Consent Form must be handled in accordance with the Bank's General Agreement For Deposits, Master Trust Agreement, Regulations Governing the Declaration of Foreign Exchange Receipts and Disbursements or Transactions, General Banking Practices, and relevant laws and regulations.

四、本同意書以中文及英文作成，如中文與英文有不一致者，以中文版本為優先適用。

This Consent Form is executed in both Chinese and English versions. In the event of any discrepancy between the two versions, the Chinese version shall prevail.